

COST ACCOUNTING STANDARDS (CAS) NOTICE AND CERTIFICATION

Offeror shall examine each of the five (5) parts of this Notice and Certification and provide the requested information in order to determine Cost Accounting Standards (CAS) requirements applicable to the resultant purchase order (PO). Offeror shall check the **appropriate** box(s) below **and** after doing so execute the certificate in Part 6 on page 4. This certification is required due to Buyer's obligation to comply with CAS requirements under its prime contract with the United States Government, which includes a 30-day notification requirement for any CAS-covered subcontract.

Part 1 – Cost Accounting Standards – Statement of Exemption

Offeror hereby certifies the resultant PO will be exempt from CAS Board standard rules and regulations because:

- Offeror is a Small Business Concern as defined in Section 19.001 of the FAR.
- * Prices are set by law or regulation.
- * The PO is for the acquisition of commercial items under a firm fixed-price or fixed-price with economic price adjustment (provided that price adjustment is not based on actual costs incurred).
- The PO is less than \$7.5 million, provided that, at the time of award, the business unit of the contractor or subcontractor is not currently performing any CAS covered contracts or subcontracts valued at \$7.5 million or greater.
- The PO is under the NATO PHM Ship program and will be performed outside the United States by a foreign concern.
- The firm-fixed price PO's awarded on the basis of adequate price competition without submission of cost or pricing data.

***NOTE:** Complete justification supporting Offeror's claimed exemption must be included with the proposal to support this statement of exemption.

Part 2 – Cost Accounting Standards – Eligibility for Modified Contract Coverage

If Offeror is eligible to use the modified provisions of 48 CFR 9903-201-2(b) and elects to do so, Offeror shall indicate by checking the box below. Checking the box below shall mean that the resultant PO is subject to the Disclosure and Consistency of Cost Accounting Practices clause (FAR 52.230-3) in lieu of the CAS clause (FAR 52.230-2).

- The Offeror hereby claims an exemption from the CAS clause (FAR 52.230-2) under the provisions of 48 CFR 9903.201-2(b) and certifies that Offeror is eligible for use of the Disclosure and Consistency of Cost Accounting Practices clause (FAR 52.230-3) because (i) during its cost accounting period immediately preceding the period in which this proposal was submitted, Offeror received less than \$50 million in awards of CAS-covered prime contracts and subcontracts; or (ii) Offeror did not receive a single CAS-covered award exceeding \$7.5 million). Offeror further certifies that if such status changes before an award resulting from this proposal, Offeror will advise Buyer immediately.

CAUTION: Offeror may not claim the above eligibility for modified contract coverage if this proposal is expected to result in the award of a CAS-covered contract of \$50 million or more or if, during its current costs accounting period, Offeror has been awarded a single CAS-covered prime contract or subcontract of \$50 million or more.

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- (i) Original and one copy to the cognizant Administrative Contracting Officer (ACO) or cognizant Federal agency official authorized to act in that capacity (Federal official), as applicable; and
- (ii) One copy to the cognizant Federal auditor.

Date of Disclosure Statement: _____

Name and Address of cognizant ACO or Federal Official where filed: _____

Offeror further certifies that practices used in estimating costs in pricing this proposal are consistent with the cost accounting practices disclosed in the Disclosure Statement(s).

Part 5 (Continued) – Disclosure Statement – Cost Accounting Practices and Certification

- II. CERTIFICATE OF PREVIOUSLY SUBMITTED DISCLOSURE STATEMENT(S)
Offeror hereby certifies that the required Disclosure Statement(s) was (were) filed as follows:

Date of Disclosure Statement(s)	Name(s) and address(es) of cognizant ACO(s) or Federal official(s) where filed:
_____	_____
_____	_____
_____	_____

Offeror further certifies that the practices used in estimating costs in pricing this proposal are consistent with the cost accounting practices disclosed in the applicable Disclosure Statement(s).

- III. CERTIFICATE OF MONETARY EXEMPTION
Offeror hereby certifies that it, together with all divisions, subsidiaries, and affiliates under common control, did not receive net awards of negotiated prime contracts and subcontracts subject to CAS totaling more than \$50 million (of which at least one award exceeded \$7.5 million) in its cost accounting period immediately preceding the period in which this proposal was submitted. Offeror further certifies that if its status changes before an award resulting from this proposal, Offeror will advise Buyer that Offeror's Contracting Officer immediately.

- IV. CERTIFICATE OF INTERIM EXEMPTION
Offeror hereby certifies that (i) Offeror first exceeded the monetary exemption for disclosure, as defined in III above, in its Cost Accounting period immediately preceding the period in which this proposal was submitted, and (ii) in accordance with 48 CFR 9903.202-1, Offeror is not yet required to submit a Disclosure Statement. Offeror further certifies that if an award resulting from this proposal has not been made within 90 days after the end of that period, Offeror will immediately submit a revised certificate to Buyer, in the form specified under I or II above as appropriate, to verify submission of a completed Disclosure Statement.

CAUTION: Offerors currently required to disclose because they were awarded a CAS-covered prime contract or subcontract of \$50 million or more in the current cost accounting period may not claim this exemption. Further, the exemption applies only in connection with proposals submitted before expiration of the 90 day period following the cost accounting period in which the monetary exemption was exceeded.

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Part 6 - CERTIFICATION

CERTIFICATION AND REPRESENTATION

This certification concerns a matter within the jurisdiction of an agency of the United States and the making of false, fictitious or fraudulent certification may render the maker subject to prosecution under USC Section 1001, Title 18.

Company Name: _____

By: _____
(Signature)

Name: _____
(Typed)

Title: _____

Date of Certification: _____